The spatial receptive field of thalamic inputs to single cortical simple cells revealed by the interaction of visual and electrical stimulation

Prakash Kara*, John S. Pezaris, Sergey Yurgenson, and R. Clay Reid

Department of Neurobiology, Harvard Medical School, Boston, MA 02115

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Electrical stimulation of the thalamus has been widely used to test for the existence of monosynaptic input to cortical neurons, typically with stimulation currents that evoke cortical spikes with high probability. We stimulated the lateral geniculate nucleus (LGN) of the thalamus and recorded monosynaptically evoked spikes from layer 4 neurons in visual cortex. We found that with moderate currents, cortical spikes were evoked with low to moderate probability and their occurrence was modulated by ongoing sensory (visual) input. Furthermore, when repeated at 8–12 Hz, electrical stimulation of the thalamic afferents caused such profound inhibition that cortical spiking activity was suppressed, aside from electrically evoked monosynaptic spikes. Visual input to layer 4 cortical cells between electrical stimuli must therefore have derived exclusively from LGN afferents. We used white-noise visual stimuli to make a 2D map of the receptive field of each cortical simple cell during repetitive electrical stimulation in the LGN. The receptive field of electrically evoked monosynaptic spikes (and thus of the thalamic input alone) was significantly elongated. Its primary subfield was comparable to that of the control receptive field, but secondary (flanking) subfields were weaker. These findings extend previous results from intracellular recordings, but also demonstrate the effectiveness of an extracellular method of measuring subthreshold afferent input to cortex.

Orientation selective neurons in primary visual cortex receive feed-forward input from lateral geniculate nucleus (LGN) cells that are themselves poorly oriented. Experiments that have examined the role of the feed-forward thalamocortical pathway in this receptive field transformation have yielded two independent findings. First, the thalamic input to cortical simple cells is highly specific: LGN cells make monosynaptic connections with simple cells predominantly when the pre- and postsynaptic receptive fields overlap and match in sign, size, and time course (1, 2). Second, when intracortical inputs are silenced by cooling or by electrical stimulation in cortex, intracellular recordings demonstrate that the summed thalamic input to a layer 4 simple cell is orientation selective (3, 4). Here, we present a technique that we have used to examine a related but hitherto untested hypothesis: that the spatial receptive field of a simple cell is very similar to the receptive field of its summed thalamic input. We have concentrated on two receptive-field parameters, the elongation of the strongest subfield and the relative strength of antagonistic, flanking subfields.

The experimental approach that we took to examine these questions was based on two independent characteristics of electrical stimulation: (i) if electrical stimulation is not 100% effective in evoking cortical spikes, then the probability of evoking a spike will depend on the subthreshold activity of the cortical neuron at the time of the stimulus, and (ii) strong electrical stimulation leads to prolonged (100–200 ms) inhibition of cortical firing (5). Reversal of this inhibition during intracellular recording and γ-aminobutyric acid (GABA) blockade (6) suggests that the inhibition is indeed intracortical, although shorter-lived inhibition is presumed to be present in the thalamus as well (see Discussion). With low currents, electrical stimulation of the LGN evokes cortical spikes with low probability (and thus will be strongly modulated by ongoing visual input) but feed-forward inhibition is weak. With higher currents, electrical stimulation evokes spikes with higher probability and feed-forward inhibition is strong and long lasting. The key point for the experiments reported here was that we were able to find stimulation parameters (~200 μA pulses, delivered at 8–12 Hz) such that the efficacy of each stimulus was <100% and cortical activity was silenced between successive stimuli. Because electrical stimuli were <100% effective, ongoing visual stimulation modulated their efficacy, so that the probability that a thalamocortical excitatory postsynaptic potential evoked a spike served as a readout of subthreshold visual input (Fig. 1). Further, because the cortex was silenced, all visual input must have derived from the thalamus. When combined with stimuli that map the receptive field in two dimensions (e.g., Fig. 3A), repetitive electrical stimulation therefore served as a tool to determine the receptive field of the summed thalamic input to a simple cell.

We found that the summed receptive field of the thalamic inputs onto individual cortical layer 4 simple cells was significantly elongated. That is, the length-to-width aspect ratio of the strongest subfield was significantly greater than 1. Subfields flanking the peak subfield, a hallmark of the receptive field of simple cells, were weaker and not always evident in the receptive field of the thalamic input. Although comparable data may be attainable with intracellular recordings, the approach used in this study has the benefit that it is based on technically simpler extracellular recordings.

Materials and Methods

Preparation and Anesthesia. Seven anesthetized, paralyzed cats (1.5–4.5 kg) were used. The presurgical preparation, induction and maintenance anesthesia, ocular stabilization, and optical refractive methods have been described (7). Surgical anesthesia was induced with ketamine HCl (10 mg/kg, i.m.) and continued with thiopental sodium (20 mg/kg, i.v., supplemented as needed). During electrophysiological recording, anesthesia was maintained with thiopental sodium (2–3 mg/kg/hr, in 0.9% saline, i.v.). Surgical and experimental procedures were in accordance with National Institutes of Health and U.S. Department of Agriculture guidelines and were approved by the Harvard Medical Area Standing Committee on Animals.

Electrophysiology, Visual and Electrical Stimulation, and Receptive Fields. We recorded extracellularly from single units along the medial bank of layer 4 of area 17 with platinum-plated tungsten-in-glass electrodes (8). We stimulated in the LGN by using a single tungsten microelectrode (AM Systems, Carlsborg, WA; 1–5 MΩ) positioned in the A lamina. Before stimulation, we

Abbreviation: LGN, lateral geniculate nucleus.

*To whom correspondence should be addressed. E-mail: pkara@hms.harvard.edu.
recorded multunit activity from the LGN stimulating electrode to assess retinotopic overlap with cortical single-unit receptive fields.

Recordings were targeted to layer 4 by careful placement of the electrode relative to the medial margin of the lateral gyrus. During experiments, we confirmed that we were recording in layer 4 with the following criteria: (i) short-latency (1.8–2.4 ms) activation from LGN, consistent with monosynaptic activation, but without the longer latency (3.1–30 ms), high threshold and low-jitter activation typical of antidromic stimulation of layer 6 neurons (9); (ii) predominance of simple receptive fields; and (iii) presence of unoriented background “hash” caused by the high density of thalamic afferents. Histological reconstructions, done for 8 of 15 cases, confirmed that the recordings were in layer 4.

We characterized receptive fields before and during LGN electrical stimulation by presenting visual stimuli on a 21-inch computer monitor (model 817P, ViewSonic, Walnut, CA) viewed monocularly at a distance of 114 cm, with a frame rate of 170 Hz and mean luminance of 48 cd/m². A binary white-noise visual stimulus, updated every 29.4 ms (five video frames), was used to map receptive fields (10). Individual stimulus pixels were sized (0.4° or 0.6°) so that receptive-field subregions were 4–8 pixels long and 2–3 pixels wide.

Optimally oriented drifting sinusoidal gratings (4 Hz, 25–50% contrast) were used to test the efficacy of electrical stimulation applied at various phases of the visual response (see Fig. 2). For all cells, we first applied electrical stimuli at 2 Hz (every other cycle of the grating stimulus) close to the peak of the visually evoked grating response. This allowed us to demonstrate monosynaptic input with low stimulus current, as well as to assess feed-forward suppression for higher stimulus currents. Each monopolar cathodal stimulation pulse lasted 0.2 ms and had an intensity of 5–500 μA. Typically, 200–250 μA was effective in suppressing >95% of cortical activity for 100–200 ms after the monosynaptic spike.

Once the probability of evoking a monosynaptic spike and the extent of cortical suppression was established, we proceeded to stimulate the LGN repetitively during white-noise visual mapping or drifting grating stimuli. During white-noise visual stimulation, electrical pulses applied at every fourth or fifth frame...
(118 or 147 ms) were phase locked to the start of that visual stimulus frame (see Fig. 3 A and B). For some cells, we performed a similar analysis during sine grating visual stimulation, in which repetitive electrical pulses were applied every 90 ms (eight times over three stimulus cycles, where each visual stimulus grating cycle lasted 240 ms) so that electrical stimuli coincided with 8 unique phases of a single cycle of the grating stimulus (see Fig. 2B).

**Data Acquisition.** Neural signals were bandpass filtered at 0.3–5 KHz and digitized at 20 KHz (Cambridge Electronic Design, Power 1401). Cortical data were analyzed to confirm single-unit isolation via waveform template analysis, cluster separation, and the presence of absolute refractory periods in the spike trains. With one exception, the largest unit recorded with the cortical electrode was used for receptive-field analysis. In one control experiment, in which we assessed the extent of electrically evoked cortical silencing, we analyzed multiunit data (up to three unique spike waveforms per electrode) from layers 4 and 6.

**Gaussian Fitting and Error Analysis.** To compare the normal cortical receptive field with the receptive field of the thalamic input, we first considered the primary (strongest) subfield. The spatial receptive field was defined as the average stimulus that preceded spikes occurred later than $\approx 2$ ms after the electrical stimulus. (C) Raster plot of responses to white-noise visual stimulation alone. Without electrical stimulation, spikes (1,298 total) occurred at more evenly distributed times. In this example there were more spikes in the stimulated condition than in the control, but this was not always the case.

**Fig. 3.** Cortical response to combined white noise and electrical stimulation. (A) Each frame of the white-noise visual stimulus was a unique checkerboard ($16 \times 16$ matrix) of white and black squares. Four such frames, which were presented every 29.4 ms, are shown. Electrical stimuli (red arrows) were delivered at the start of every fourth frame (every fifth frame for some experiments). (B) Raster plot of simple-cell responses to combined electrical and white-noise visual stimulation. Electrical stimuli were applied at time 0, as shown in A. Each electrical stimulus (200 $\mu$A) coincided with the start of every fourth visual frame (total frames: 32,768); therefore, 8,192 electrical stimuli were presented over the course of $\approx 16$ min. In this example, only 4 of 4,838 spikes occurred later than $\approx 2$ ms after the electrical stimulus. (C) Raster plot of responses to white-noise visual stimulation alone. Without electrical stimulation, spikes (1,298 total) occurred at more evenly distributed times. In this example there were more spikes in the stimulated condition than in the control, but this was not always the case.

**Fig. 4.** Spatial receptive fields of cortical layer 4 simple cells and of their thalamic input. (A–D) Receptive fields of four simple cells under control conditions. Off subfields are shown in blue, and on subfields are shown in red. Gridlines show individual stimulus pixels (0.4–0.6°). The elongated primary subfield of each cortical cell was fitted with a 2D Gaussian (shown as ellipses at 1.75 $\sigma$). (E–H) Receptive field of the thalamic input for the four cells shown in A–D, as obtained with combined visual and electrical stimulation. In all four cases, the primary subfield remained elongated. Stimulation currents for E, F, G, and H were 100, 200, 225, and 200 $\mu$A, respectively. (I–L) Primary subfield length vs. width for simple cells (black) and their thalamic input (red) for each of the four cases shown in A–H. Each point corresponds to parameters derived from one iteration of our error analysis (see Materials and Methods). All values lie above the diagonal line of unit slope, indicating that the receptive fields of the cortical neurons and their thalamic inputs were both significantly elongated (Wilcoxon signed rank tests, $P < 0.0001$ in all cases).

$$Ae^{-\frac{(x-x_c)^2}{\sigma_x^2} - \frac{(y-y_c)^2}{\sigma_y^2}}.$$
discern in the receptive fields of the thalamic inputs, in part because of the increased baseline noise. To quantify changes in the ratio of the secondary subfields to the peak subfield, we masked these regions as follows. Over the ellipse used to fit the peak subfield (drawn at 1.75 σ), we drew a concentric ellipse that was three times wider (see Fig. 6, which is published as supporting information on the PNAS web site, www.pnas.org). The ratio of the flankingsubfields to the primary subfield was calculated as the difference between the sum of the pixel values in these two ellipses divided by the sum of the pixel values in the peak subfield.

**Results**

Combined visual and electrical stimulation experiments were performed in 15 layer 4 simple cells along the medial bank of striate cortex. All 15 cells were well-isolated units that received monosynaptic input from the LGN (latency range: 1.8–2.4 ms; mean latency across all cells: 2.12 ms). Cells for which we could not evoke monosynaptic spikes were not included in our data set.

**Modulating the Probability of Electrically Evoked Spikes During Visual Grating Stimuli.** In the 15 simple cells that received monosynaptic input from the LGN, electrical stimuli were applied during one or more phases of the drifting sinusoidal grating visual stimulus. By first applying the electrical stimuli near the peak of the visual response, we could test the efficacy of a range of stimulation currents with high sensitivity. Efficacy was defined as the fraction (or percent) of stimulation trials that evoked spikes with monosynaptic (1.8–2.4 ms) latency. We found that 5 μA of stimulation current produced a modest efficacy of evoking cortical spikes compared with the baseline from the unstimulated control (Fig. 2A). Higher currents (50–500 μA) produced a progressively higher probability of monosynaptic spikes and correspondingly longer-lasting silencing of cortical discharge (50–200 ms; Fig. 2A).

Once we found a stimulation current that would likely suppress the simple cell’s spikes (except for spikes immediately after the electrical stimulus), for 4 of 15 cells we applied this single current at eight different phases of the visual stimulus at a rate of 11 Hz. Even though the stimulation current was fixed, the probability of evoking a monosynaptic spike depended strongly on its timing relative to the visual stimulus (Fig. 2B) for all four cells tested. For the cell whose responses are shown in Fig. 2B, only monosynaptic spikes were evoked during this repetitive electrical stimulation paradigm; at all other times between electrical pulses, there were no cortical spikes (data not shown).

As discussed in the introduction, repetitive electrical stimulation served double duty (see Fig. 1B). First, electrical stimuli produced a long-lasting intracortical inhibition that suppressed all spiking activity between stimuli. Second, they probed the subthreshold cortical membrane potential at the time of the electrical stimulus. Because cortical spiking was inhibited in the 90 ms between electrical stimuli (three-eighths of the repeat period of the visual stimulus, see Materials and Methods), all remaining visual input must have derived from the LGN (assuming that other cells in the cortex were similarly silenced, see Fig. 7, which is published as supporting information on the PNAS web site). The suprathreshold visual response from the control experiment (blue histogram in Fig. 2B) was narrower than the inferred subthreshold thalamocortical visual input from the electrical stimulation experiment (red curve in Fig. 2B). This finding is consistent with previous studies in which direct intracellular measurements of sub- and suprathreshold visual responses were made (11). Further, the control visual response occurred during the rising phase of the monosynaptic response (Fig. 2B), consistent with the fact that spikes typically occur on the rising phase of the membrane potential rather than the falling phase (12).

**Combined Visual White Noise and Repetitive Electrical Stimulation Isolate the Receptive Field of the Thalamic Input to Individual Cortical Cells.** To examine the spatial receptive field of the thalamic input, we used white-noise visual stimuli (see Materials and Methods). The advantage of using white noise is that it is uncorrelated from one stimulus frame to the next, unlike the grating stimulus. In particular, the visual stimuli in the interval between two electrical impulses are uncorrelated with all previous visual stimuli.

During white-noise visual stimulation alone, the average firing rate of our sample of 15 cortical cells ranged from 0.34 to 7.25 Hz (mean: 2.35 Hz). In 11 of these 15 cells, we combined electrical stimulation of the LGN with white-noise visual stimuli. The white-noise visual stimulus was updated every 29.4 ms, and electrical stimuli were applied at the start of every fourth or fifth visual stimulus frame (Fig. 3A). Repetitive electrical stimulation under these conditions produced monosynaptic spikes with variable efficacy and subsequent inhibition until the next electrical stimulus 118 ms later (147 ms in some experiments). A raster plot of the responses from such an experiment is shown in Fig. 3B. Electrical stimuli were applied at time zero. Throughout the experiment, 200-μA stimulation spared electrically evoked spikes and effectively suppressed all other (visually evoked) spiking of this cortical cell (compare with the control in Fig. 3C).

In this example, monosynaptic spikes were evoked with 59% efficacy. For the 11 cells tested, the efficacy ranged from 1% to 100% (17 ± 32%, mean ± SD).

In three cases, the inhibition of cortical firing between electrical stimuli took 10–100 s to develop. The data presented and analyzed in this paper were not taken from this initial warm-up period, whose mechanism was not explored. Receptive fields from two of these three cases are plotted in Fig. 4 E and G.

**Spatial Receptive Field Geometry: Unstimulated Control vs. Isolated Thalamic Input.** For 6 of 11 simple cells studied with the above protocol, we were able to map simple receptive fields first without electrical stimulation and then under conditions in which electrical stimulation revealed their isolated thalamic input (see Controls: Retinotopic Overlap and Spatial Extent of Cortical Silencing). Simple cells in layer 4 typically have two or three clearly defined on and off subfields (Fig. 4 A–D). The mean aspect ratio (length to width) of the primary subfield was 2.7 ± 0.8 (mean ± SD, n = 6), consistent with a previous report (2). The mean aspect ratio of the corresponding subfields from the isolated thalamic input was 2.4 ± 0.3 (mean ± SD), suggesting that the thalamic input provides an elongated input to cortical simple cells (see Fig. 4 E–H). The average aspect ratio of the primary subfield across all cells tested was not significantly different between the two conditions (control vs. electrical stimulation, P > 0.05, Mann–Whitney U test). Error analysis of the individual estimates of the length and width of the primary subfield (see Materials and Methods) showed that the thalamic input was significantly elongated in all cases (Fig. 4 I–L; Wilcoxon Signed Rank test, P < 0.001 in each case).

Although the primary subfields of the thalamic input closely matched those of the unstimulated control, the secondaryflanking subfields were qualitatively weaker, particularly in two cases (compare Fig. 4 B and F, and D and H). We quantified the relative strength of the flanking subfields by taking the ratio of their summed strength to that of the primary subfield (see Materials and Methods and Fig. 6). In the four cases shown in Fig. 4, electrical stimulation resulted in a reduction of the relative strength of the secondary flanking subfields by 33%, 79%, 32%, and 86%, respectively. Across all six cases, the ratio of the flanking subfields to the peak subfield (see Materials and Methods) was significantly weaker (P < 0.05, Mann–Whitney U test).
stimulation currents (e.g., 500 synaptic spikes with each stimulation pulse. Even with high and also a modest probability (P).

In space, it is necessary to silence the entire population of cortical neurons that might project to the neuron being studied. To ensure that this was the case, we analyzed the degree of silencing as a function of the retinotopic distance between the cortical receptive field and the LGN stimulation site.

When retinotopic separation distances were large (>3°), we could not find stimulation parameters (current and frequency) such that there was both >95% inhibition of the visual response and also a modest probability (P ≈ 1–60%) of evoking monosynaptic spikes with each stimulation pulse. Even with high stimulation currents (e.g., 500 µA), cortical spikes were not suppressed for the full interstimulus interval and leakage spikes were detected (defined as spikes occurring in the 89 ms, or three stimulus frames, preceding each electrical evoked spike (see Materials and Methods), but the electrical stimuli are spaced by 118 or 147 ms.

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The fraction of leakage (unsuppressed) cortical spikes was directly related to the retinotopic separation of the LGN stimulation site and the cortical simple cell receptive field (Fig. 5). Most importantly, if the retinotopic separation between geniculate and cortical receptive fields was less than 1.5° of visual angle (as was the case for all four cells in Fig. 4), then >95% inhibition was attained by using 200–225 µA electrical stimulation (100 µA used in one case; see receptive field in Fig. 4A and raw data in Fig. 84). Within 5° of area centralis, the maximum eccentricity of the receptive fields we studied, 1.5° of visual angle corresponds to 1.5–2.6 mm across the cortical surface (13).

In total, 7 of 11 cells were effectively silenced to >95% (our criterion level for effective silencing, as in ref. 4). In the other 4 of 11 cases, a significant number of leakage spikes were detected, suggesting that the thalamic input was not well isolated. We mapped the receptive field of the isolated thalamic input in only six of seven cases with >95% silencing. In the remaining example, the efficacy of electrical stimulation was 100%. A receptive field map was therefore not obtained because the visual stimulus could not modulate the efficacy of electrical stimulation.

The above data suggest that when the simple cell receptive field overlapped the receptive field of the LGN stimulation site to within 1.5° (or 1.5–2.6 mm across the cortical surface), nearby cortical cells are also likely to be effectively silenced. These other cortical cells are therefore unlikely to contribute to the receptive fields we mapped during electrical stimulation. To gain further confidence that we had effectively silenced the cortical input to the layer 4 cells that we were studying, we made parallel electrode penetrations in the two layers (layers 4 and 6) that provide synaptic input onto layer 4 cells (see Fig. 7). We collected single and multiunit activity from four sites in layer 4 (spanning a distance of ~1 mm) and one retinotopically matched site from layer 6. During combined white-noise visual stimulation and 225 µA LGN electrical stimulation, leakage spikes in cortical layer 4 were suppressed by an average of 97% (compared with the unstimulated control). In layer 6, 280 µA suppressed leakage spikes by 100%. These values are for the largest amplitude single-unit spike recorded at each site, for which the peristimulus time histogram (PSTH) and raster plot are shown in Fig. 7. Multiunit activity (up to three smaller spikes, see Materials and Methods) was also suppressed by >95% in layers 4 and 6 (data not shown).

It might be argued that cortical activity outside the silenced region could affect the receptive fields we measured during repet- itive stimulation. We believe this is very unlikely for the following reason. Unlike the long-range horizontal connections in cortical layer 2 of 3, both layer 4 and 6 neurons have spatially restricted axonal arbor (maximum width ~1 mm) in layer 4 (14, 15). Thus, if both layer 4 and layer 6 are silenced nearby (see Fig. 7), there is no pathway through which cortical activity outside the silenced region might be propagated to the layer 4 neurons under study.

Discussion

We combined visual and electrical stimulation with extracellular single-unit recording to estimate the spatial profile of theafferent synaptic input to individual cortical simple cells. Provided that the retinotopic site of LGN electrical stimulation matched the cortical recording site to within ~2°, intracortical spiking could be silenced while sparing the electrically evoked monosynaptic response. Modulation of the electrically evoked response by ongoing visual stimuli provided a measure of the subthreshold visual LGN input arriving at cortical simple cells. Repetitive electrical stimulation of the LGN afferents during presentation of white-noise visual stimuli could therefore be used to map the receptive field of the thalamic inputs. We found that the receptive field of this isolated thalamic input was elongated, with aspect ratios comparable to the aspect ratios of the original receptive fields. Secondary (or flanking) subfields of the thalamic input, however, were weaker than those of the original receptive fields.

Our stimulation technique is versatile enough to address the extent to which the thalamic input might contribute to other aspects of the cortical receptive field, such as contrast gain control and direction selectivity. For instance, if contrast gain control disappeared in the silenced cortex, it would suggest that the cortical circuit is important in producing this property. Positive results such as the elongation of thalamic input reported here, however, do not preclude a role of the cortical circuit in refining the cortical receptive field, or in amplifying the thalamic...
input. If, for instance, the orientation selectivity of the thalamic input was similar to that in the intact cortex (as in ref. 4), the cortical circuit might make that property more robust (16–20).

Our extracellular recordings did not allow us to distinguish unequivocally between excitatory and inhibitory cells in layer 4, both of which typically have simple receptive fields (21, 22). Swadlow’s group, however, has shown that electrical stimulation of thalamic afferents in the rabbit somatosensory and visual systems may reveal whether monosynaptically activated cells are inhibitory (23, 24). In particular, only fast spiking inhibitory cells fire multiple action potentials in responses to an electrical inhibitory (23, 24). In particular, only fast spiking inhibitory cells fired multiple action potentials in responses to an electrical stimulation. If, for instance, the orientation selectivity of the thalamic input was similar to that in the intact cortex (as in ref. 4), the cortex would be silenced in our protocols so that electrically evoked spikes would not have a receptive field. The fact that we were equal or longer in duration than in cortex, visual input to layer 4 neurons (in particular nearby layer 4 and layer 6 neurons) were silenced in the period between electrical stimuli. In principle, stimulation in other regions that provide excitatory input to layer 4 might have achieved the same result, such as layer 4 itself or layer 6, although in practice it might not be possible to find stimulation parameters that satisfy both criteria.

In conclusion, combining electrical and visual stimulation with extracellular recording is an effective tool for studying the selectivity and organization of feed-forward inputs to cortical cells, albeit indirectly. Our results suggest that the spatial receptive field of the thalamic input is significantly elongated and has an aspect ratio comparable to that of the primary subfield of normal cortical simple cells. This provides further, independent evidence that the shape of a simple cell’s receptive field is imposed by connections from a specific subset of the local LGN afferents (1, 27).

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